**Introduction**

With every delivery of fine annealed optical glass the customer receives a test report in accordance with ISO 10474. The test report contains non-specific test results to confirm the compliance of the delivery with the order. That means that random representative test samples were inspected during production to ensure the compliance with the order. The specific choice of test samples and inspection procedures ensures the validity of results for all parts of the delivery lot even if they were not individually tested.

1. **Backtracking of material properties and production information of lots**

A batch is numbered directly after melting and coarse annealing. The batch number is kept in all further processing steps and therefore allows to backtrace all important material properties and production information.

We recommend to preserve the batch numbers of glass pieces used for following processing steps. Statements on quality of the delivered glass can only be made with reference to the respective batch numbers.

2. **Compilation of delivery lots**

Delivery lots are compiled from batches according to their refractive index and Abbe number specification. The maximum scattering of refractive index of single batches within a lot is $\pm 10 \cdot 10^{-5}$ (pressings: $\pm 20 \cdot 10^{-5}$) The maximum scattering tolerance for precision molding glasses is limited by the refractive index tolerance step 3. In general the delivery lot contains adjacent batches taken from a single production run. SCHOTT will add material from other production runs that fit the optical position of the respective delivery lot if the ordered quantity exceeds the quantity of a single production run.
3. Marking of the delivery lot and batches

The Lot-ID number is assigned automatically by the computer system and is not related to any production information. A new Lot-ID is assigned for each delivery and only related to the delivered lot. The delivery lot contains batches, which are the smallest administrated units of materials. Batches are marked with the production serial number, e.g. C100001234. A batch of block glass for further cold processing contains a single glass block. In the case of processed glass 100 single glass discs could also be one batch.

4. Details in the test report of fine annealed optical glass

This chapter describes the test report of fine annealed optical glass for cold processing purpose. It is assumed that no additional heat treatment of the glass with temperatures near Tg will be applied during further processing. In general the delivery lot will not be compiled of glass with homogeneous annealing rate history. Therefore even in the case of correct fine annealing additional heat treatment might lead to greater scattering of refractive index than promised in the test report. The given limit values for the stress birefringence will be kept even for delivery lots with inhomogeneous history of annealing rates.

The test report as shown on the next page contains the Lot-ID, the order number, the SCHOTT customer number, the glass type, the refractive index and Abbe number steps, the scattering tolerance of refractive index, a list of all batches of the delivery lot, details on the mid-level optical position of the delivery lot (between maximum and minimum value of refractive index and Abbe number in the lot), the difference of the refractive index and the Abbe number from the catalog values. The spectral internal transmittance and the color code can be added on special request.

The refractive index and the Abbe number of the d-line are based on measurements. All values of other spectral lines then the d-line are calculated using the relative dispersion values (or using the Sellmeier coefficient) of the glass given in the catalog.

Example of test report for fine annealed optical glass (subject to change)
## Batches / Chargen

<p>| | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>$n_d$</td>
<td>1.56863</td>
<td>-0.00020</td>
<td>$\nu_d$</td>
<td>55.99</td>
</tr>
<tr>
<td>$n_e$</td>
<td>1.57105</td>
<td>-0.00020</td>
<td>$\nu_e$</td>
<td>55.72</td>
</tr>
</tbody>
</table>

### Technical Information

**SCHOTT AG**

**Advanced Optics**

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**Test Report / Werkszeugnis**

ISO 10474 - 2.2 2016/05/30

Delivery Note / Lot-ID:
Lieferschein / Lieferlos
Order Position of:
Auftrag Position vom
Customer / Kunde
Glass Type / Glasart: N-BAK4
Glass code / Glaskennziffer: 569560.305
$n_d$ / $\nu_d$ Step / Stufe: 1 / 1

Scattering / Streuung: $\pm$ 0.00010

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**Test report for delivery lots of optical glass**

**Wellenlängen in voller Genauigkeit siehe Katalog**

Abweichung vom Katalogwert

This test report has been printed via EDP. It is valid without signature.

SCHOTT AG
Advanced Optics

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**Fig. 2:** Test report for delivery lots of fine annealed optical glasses
For precision molding glasses we additionally report the glass transformation temperature. The refractive index values given are reference values based on a reference annealing rate of 2 K/h. The actual refractive index of the precision molding glass batches will be different. More information on precision molding glasses can be found in the technical information no. 40.

Glasses for precision molding in general are coarse annealed glasses. The customer will receive a test report with the refractive index and Abbe number of the delivery lot based on a reference annealing of 2 K/h. The actual refractive index of the glass within this lot will differ from this value.

5. Annealing schedule of coarse annealed optical glass

Delivery lots of glasses for reheat pressing do have homogeneous annealing rates and will be delivered with an annealing schedule as certificate. The certificate contains values of the mid-level refractive index and Abbe number of the lot at a given annealing rate and tolerable annealing rates for all refractive index and Abbe number steps. This information is generated with samples whose state of annealing differs from the respective glass. The glass in delivery lots of glass for reheat pressing is only coarse annealed and therefore in general not suited for the cold processing and direct use in optical components (cutting, grinding, polishing). On special demand lots of fine annealed glass can also be delivered with homogeneous annealing rates and additional annealing schedules.

Example of an annealing schedule (subject to change)
### Table 1: Annealing Schedule

<table>
<thead>
<tr>
<th>Step</th>
<th>Refractive Index / Brechzahl $n_d$</th>
<th>Abbe Number / Abbezahl $v_d$</th>
<th>Annealing Rate Range [K/h]</th>
<th>Stufe Kühlraten-Bereich [K/h]</th>
</tr>
</thead>
<tbody>
<tr>
<td>4/4</td>
<td>1.60736 56.58 2.0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4/3</td>
<td>1.60738 56.65</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3/3</td>
<td>1.60788 56.93 1.30</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2/3</td>
<td>1.60768 56.93 1.30</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1/2</td>
<td>1.60768 56.93 1.30</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1/1</td>
<td>1.60768 56.93 1.30</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Additional Information

- **Internal Transmittance / Reintransmission**
  - (400nm;10mm) 0.998

- **Glass Code / Glaskennziffer**
  - N-SK2

- **Glass Data / Glasdaten**
  - $T_g$ 659 °C
  - $T_{10}$ 823 °C
  - $\alpha(20;300)$ 7.10 $10^{-6}$/K

- **Other Values / andere Werte**
  - $n_g$ 1.61484
  - $n_C$ 1.60411

- **Catalog / Katalog**
  - $d$-Line 1.60736 56.58 2.0
  - $e$-Line 1.60994 56.37

- **Annealing Parameters / Kühl-Parameter**
  - $m_{nd}$ -0.00102
  - $m_{vd}$ -0.0951

- **This glass is not suited for the direct fabrication of finished optical elements via cold processing. Annealing rates are given in K/h.**
  - $v_k > 100$ K/h will be denoted as 100 K/h, $v_k < 0.1$ K/h as 0.1 K/h.

- **List of batches**
  - Dxxxx781
  - Dxxxx782
  - Dxxxx783

- **This annealing schedule is printed with EDP. It is valid without signature.**

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**Summary**

- The annealing schedule provides detailed information on the annealing process for optical glasses.
- The values are based on reference annealing rates and may differ from actual values.
- The schedule includes internal transmittance, glass data, and annealing parameters.
- It specifies annealing rates in K/h and is suitable for cold processing.
- The schedule is verified by SCHOTT AG and can be applied without additional signatures.

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**Fig. 3:** Annealing schedule for delivery lots of coarse annealed optical glasses for reheat pressing.
6. Additional test certificates

Further properties of the delivery lot that were requested by the customer will be certified with additional entries within the test report or as additional test certificates.

7. Literature

[1] SCHOTT Optical Glass Pocket Catalog